Solid State Theory, Exercises II

Prof. Hans Peter Büchler SS 2012, 25th of April 2012

Exercise 1 - Phonons in a monatomic harmonic chain

(2 points)

Consider a one-dimensional chain of N >> 1 (N even) atoms with periodic boundary conditions, where the potential energy is given by

$$U(\{\mathbf{x}\}) = U_0 \sum_{i=-(\frac{N}{2}-1)}^{\frac{N}{2}} (\mathbf{x}_{i+1} - \mathbf{x}_i - \mathbf{a})^2$$
 (1)

where $\mathbf{a}=(a,0,0)$ and we denote by $\{\mathbf{x}\}$ the set of all coordinates $\{\mathbf{x}_{-(\frac{N}{2}-1)},\cdots,\mathbf{x}_0,\cdots,\mathbf{x}_{\frac{N}{2}}\}$.

- (a) Determine the equilibrium positions $\{\mathbf{x}^0\}$ of the atoms.
- (b) Calculate the matrix

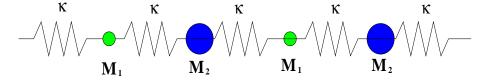
$$D_{\alpha,\beta}(\mathbf{x}_i^0, \mathbf{x}_j^0) = \frac{\partial^2 U}{\partial x_i^{\alpha} x_j^{\beta}} \bigg|_{\mathbf{x}^0}.$$
 (2)

- (c) Calculate the dynamical matrix and determine the eigenfrequencies of the system from the eigenvalues of the dynamical matrix.
- (d) Plot the dispersion relation of the phonons inside the first Brillouin zone.

Exercise 2 - Phonons in a diatomic harmonic chain

(2 points)

Calculate the dispersion for acoustical and optical phonons in a diatomic chain as shown in the figure below,



Show that the dispersion can be written as

$$\omega^{2}(q) = \kappa \left(\frac{1}{M_{1}} + \frac{1}{M_{2}}\right) \pm \kappa \sqrt{\left(\frac{1}{M_{1}} + \frac{1}{M_{2}}\right)^{2} - \frac{4}{M_{1}M_{2}}\sin^{2}\left(\frac{qa}{2}\right)}$$
(3)

where κ is the force constant and M_1 and M_2 are the two masses. Plot the dispersion of the phonons inside the first Brillouin zone.

Hint: Write down the equations of motion for the coordinates u, show that the dynamical matrix is a 2×2 matrix, and calculate its eigenvalues.

1. Show that the specific heat for acoustic phonons in the Debye model can be written as

$$\frac{C_v}{Nk_B} = 9 \cdot \left(\frac{T}{\Theta}\right)^3 \int_0^{\Theta/T} \frac{y^4 e^y}{(e^y - 1)^2} dy,\tag{4}$$

where N is the total number of atoms, and $\Theta = \hbar \omega_D/k_B$ is the Debye temperature given in terms of the Debye frequency cutoff ω_D .

2. Make a plot of C_v/Nk_B and discuss its T dependence in the low and high temperature limits.

Solutions due on: 2nd of May, 2012