# **Bose-Einstein Condensation**

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# What is Bose-Einstein Condensation?

# Setups for BEC-Preparation

Most common way to prepare a BEC: Magneto-optical traps & evaporative cooling



Figure: from "Laserkühlen und Fangen von neutralen Atomen in einer magneto-optischen Falle", FP Physik Versuchsanleitung



Figure: Optical trap modelling a 2Dbox potential; *Meyrath et al.Physical Review A 71, 041604sRd s2005d* 

# Why study BEC?



Figure: Interference of two initially separated condensates.;*Ketterle et al. Science* 276, 637 (1997)

Bose-Einstein-condensates can be used to deliver direct evidence of the wave-nature of matter and allow high-precision measurements

# Outline

- 1 The Discovery of Bose-Einstein condensation
- Mathematical description of Bose-Einstein Condensates
   Short repetition: The grand-canonical ensemble
  - The ideal Bose-Gas
  - The weakly interacting Bose-Gas: Bogoliubov Theory



# Outline

## 1 The Discovery of Bose-Einstein condensation

#### 2 Mathematical description of Bose-Einstein Condensates

#### **3** Experimental Results

# The discovery of BEC



Satyendranath Bose (1894-1974)

1921, Time to solve the ultraviolett catastrophe. Photons are indistinguishable, so we need new statistics.



Albert Einstein (1879-1955)

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Interesting! Let's apply that to atoms and see what happens.



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1924: Indstinguishable atoms will condense at low T!



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# Remarkable developments

- 1995: First pure BECs are created at JILA (Colorado) and MIT; observation of interference effects (<sup>87</sup>Rb and <sup>23</sup>Na, nK range)
- 1999: BEC preparation with magnons in an antiferromagnet at 14K
- 2006: Magnon-BEC in ferromagnets at room temperature

The Discovery of Bose-Einstein condensation Mathematical description of Bose-Einstein Condensates Experimental Results The ideal Bose-Gas The weakly interacting Bose-Gas: Bogoliubov Theory

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Short repetition: The grand-canonical ensemble The ideal Bose-Gas The weakly interacting Bose-Gas: Bogoliubov Theory

# The grand-canonical ensemble: $T, \mu, V$

- Setups for preparation of BECs allow the exchange of particles and energy
- The partition function  $(\beta = 1/(k_{\rm B}T))$ :

$$Z(T,\mu,V) = \sum_{i=0}^{\infty} \exp(-\beta(E_i - \mu N_i))$$
(1)

#### Bose-Einstein distribution:

$$\bar{n_k} = \frac{1}{\exp[\beta(\varepsilon_k - \mu)] - 1}$$
(2)

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## Cold Gases in the Box

Hamiltonian of a (cold) gas:

$$\hat{H} = \sum_{i=1}^{N} \frac{\hat{p}_i^2}{2m} + V(x_i) + \frac{1}{2} \sum_{i \neq j} U(x_i - x_j)$$
(3)

In the Box (periodic boundary conditions):

$$V(x_i) = \begin{cases} 0, & |x_i| \le L \\ \infty & |x_i| > L \end{cases}$$
(4)

$$\hat{p}_i = \frac{2\pi}{L}n\tag{5}$$

#### First Step:

Neglect interaction; the ideal Bose-gas

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# Bose-Einstein Condensation of the ideal gas

#### Our Goals:

- Derive a critical Temperature
- Find a simple expression for the condensate fraction,  $N_0/N$
- Draw a phase diagram of the ideal Bose-gas

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# The isolation of the condensed component

#### Total Number of Particles:

Λ

$$N = \sum_{j} n_{j} = \bar{n}_{0} + \sum_{j \neq 0} n_{j}$$

$$= \underbrace{N_{0}}_{\text{condensed component}} + \underbrace{N_{T}}_{\text{thermal component}}$$

$$N_{0} = \frac{1}{\exp[\beta(\varepsilon_{0} - \mu)] - 1}$$

$$\stackrel{\varepsilon_{0} = 0}{=} \frac{z}{1 - z} / z = e^{\beta \mu}$$

$$\Rightarrow \mu < \varepsilon_{0}$$

$$N_{T} = \sum_{i \neq 0} \frac{1}{\exp[\beta(\varepsilon_{i} - \mu)] - 1} \approx \int_{0}^{\infty} \frac{dk}{(2\pi)^{3}} \frac{1}{\exp[\beta(\varepsilon_{k} - \mu)] - 1}$$

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## The critical temperature

 $N_T(\mu)$ 

Figure:

$$N_{T} \approx \frac{L^{3}}{\lambda^{3}} \frac{2}{\sqrt{\pi}} \int_{0}^{\infty} d\varepsilon \frac{\sqrt{\varepsilon}}{z^{-1}e^{\varepsilon} - 1} = \frac{V}{\lambda^{3}} g_{\frac{3}{2}}(z) \qquad (6)$$
with  $\lambda = \left(\frac{2\pi\hbar^{2}}{mk_{B}T}\right)^{\frac{1}{2}}$  [thermal wavelength]  

$$\int_{N_{0}(\mu)} \int_{\mu} \int_{N_{0}} \int_{N_{0}$$

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## Macroscopic occupation of the ground state



#### Bose-Einstein Condensation

For  $T < T_c$ , the ground state is occupied macroscopically.

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S.Stringari: Bose-Einstein Condensation

## The phase-diagram



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## Introduction of interactions

Introduction of interactions adds a new term to the Hamiltonian:

$$\hat{H} = \sum_{i=1}^{N} \frac{\hat{p}_i^2}{2m} + V(x_i) + \underbrace{\frac{1}{2} \sum_{i \neq j} U(x_i - x_j)}_{\text{interaction term}}$$
(8)



- The shape of the potential doesn't allow the application of perturbation theory
- Solving the problem with the real interaction potential is impossible

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# The dilute gas

 $\Rightarrow$  simplify!

#### Assumptions:

- The effective range  $r_0$  of the interactions is much shorter than the average inter-particle distance d:  $r_0 \ll d = n^{-1/3}$
- Gas is below the critical Temperature  $T_c$

#### Consequences

- Only two-particle interactions have to be considered.
- The scattering amplitude  $V(p) = \mathscr{F}(V(r))$  takes on a very simple form

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## The scattering amplitude

The scattering amplitude is the Fourier-transformed potential:

$$V(p) = \int V(r) e^{-ip \cdot r/\hbar} dr$$
(9)

With  $T \leq T_c$  and  $r_0 \ll d$  we get:

$$\frac{\hbar}{r_0} \gg \frac{\hbar}{d} \cdot 6.63 = \sqrt{2mk_BT_c}$$

$$p \approx \sqrt{2mk_BT} \le \sqrt{2mk_BT_c} = \hbar/d \ll \hbar/r_0$$

$$\Rightarrow p \ll \hbar/r_0$$

The scattering amplitude becomes independent of p:  $(V(r) \approx 0$  for  $r > r_0)$ 

$$V(p) = \int V(r)e^{-ip \cdot r/\hbar} dr \approx \int V(r) dr$$
(10)

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# The low-energy approximation

- The low energy approximation ("s-wave scattering") yields the s-wave scattering length *a*
- Interaction phenomena depend on a only in this regime
- This allows the use of a smooth effective potential  $V_{eff}$  which yields the same scattering length and -amplitude for small momenta  $p \ll \hbar/r_0$
- Perturbation by the interaction potential must be small at all ranges to apply perturbation theory (Bogoliubov theory uses perturbation theory)
- A gas can be described as dilute if  $|a| \ll n^{-1/3} = d$

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# Rewriting the Hamiltonian

Original Hamiltonian, expressed with field operators:

$$\hat{H} = \int \left(\frac{\hbar^2}{2m} \nabla \hat{\Psi}^{\dagger} \nabla \hat{\Psi}\right) d\mathbf{r} + \frac{1}{2} \int \hat{\Psi}^{\dagger} \hat{\Psi}^{\dagger \prime} V(\mathbf{r}' - \mathbf{r}) \hat{\Psi} \hat{\Psi}' d\mathbf{r}' d\mathbf{r} \quad (11)$$

Change of basis: 
$$\left(\hat{\Psi}(\mathbf{r}) = \sum_{\mathbf{p}} \hat{a}_{\mathbf{p}} rac{1}{\sqrt{V}} e^{i\mathbf{p}\mathbf{r}/\hbar}
ight)$$

$$\hat{H} = \sum \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \frac{1}{2V} \sum V_{\mathbf{q}} \hat{a}_{\mathbf{p}_1+\mathbf{q}}^{\dagger} \hat{a}_{\mathbf{p}_2-\mathbf{q}}^{\dagger} \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2}$$

with  $V_{\mathbf{q}} \approx V_0 = \int V(\mathbf{r}) d\mathbf{r}$  we get:

#### Basic Hamiltonian for Bogoliubov-Theory

$$\hat{H} = \sum \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \frac{V_0}{2V} \sum \hat{a}_{\mathbf{p}_1+\mathbf{q}}^{\dagger} \hat{a}_{\mathbf{p}_2-\mathbf{q}}^{\dagger} \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2}$$

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## The lowest-order approximation

In the dilute gas, occupation numbers at T = 0 are all small except for  $N_0$ :

$$\hat{H} = \sum \frac{p^2}{2m} \hat{a}^{\dagger}_{\mathbf{p}} \hat{a}_{\mathbf{p}} + \frac{V_0}{2V} \sum \hat{a}^{\dagger}_{\mathbf{p}_1 + \mathbf{q}} \hat{a}^{\dagger}_{\mathbf{p}_2 - \mathbf{q}} \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2}$$

$$\rightarrow \frac{V_0}{2V} \hat{a}^{\dagger}_0 \hat{a}^{\dagger}_0 \hat{a}_0 \hat{a}_0$$
(12)

Bogoliubov prescription:

$$\hat{a}_0 \equiv \sqrt{N_0} \tag{13}$$

Which translates to  $\hat{a}_0 \equiv \sqrt{N}$  and thus:

Ground state energy in lowest order approximation:  

$$E_0 = \frac{N^2 V_0}{2V}$$
(14)

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## Lowest order approximation: Results

• Pressure remains finite, even for T = 0:

$$p \equiv -\frac{\partial E_0}{\partial V} = \frac{V_0}{2}n^2$$

• Thermodynamic stability requires  $\frac{\partial n}{\partial p} = \frac{1}{V_0 n} > 0$  $\Rightarrow$  BECs can only exist with repulsive  $V_0$  (assuming no external potentials)

• 
$$\mu = rac{\partial E_0}{\partial N} = V_0 n$$
 is always positive or zero

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# BECs in external potentials

- BEC is often achieved by using magnetic traps ⇒ external potential
- Gross-Pitaevskii-equation describes BECs in external potentials ("non-uniform Bose-Gases") in the lowest order approximation
- Assumes very low temperatures, so  $N_0 \approx N$  and  $V_{\mathbf{p}} \approx V_0$

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## Deriving the Gross-Pitaevskii-Equation

Starting Point:

$$i\hbar\partial_t \hat{\Psi}(\mathbf{r},t) = \begin{bmatrix} -\frac{\hbar^2 \nabla^2}{2m} + V_{ext}(\mathbf{r},t) \\ + \int \hat{\Psi}^{\dagger}(\mathbf{r}',t) V(\mathbf{r}'-\mathbf{r}) \hat{\Psi}(\mathbf{r}',t) d\mathbf{r}'] \hat{\Psi}(\mathbf{r},t)$$
(15)

Replacing  $\hat{\Psi}$  and V(r):

$$i\hbar\partial_t \Psi_0(\mathbf{r},t) = \begin{bmatrix} -\frac{\hbar^2 \nabla^2}{2m} + V_{ext}(\mathbf{r},t) \\ + \int \Psi_0^*(\mathbf{r}',t) V_{eff}(\mathbf{r}'-\mathbf{r}) \Psi_0(\mathbf{r}',t) d\mathbf{r}' \end{bmatrix} \Psi_0(\mathbf{r},t)$$
(16)

With  $\Psi_0(\mathbf{r}', t) \approx \Psi_0(\mathbf{r}, t)$  for  $r' < r_0$ :

$$i\hbar\partial_t \Psi_0(\mathbf{r},t) = \begin{bmatrix} -\frac{\hbar^2 \nabla^2}{2m} + V_{ext}(\mathbf{r},t) \\ + \int V_{eff}(\mathbf{r}'-\mathbf{r})d\mathbf{r}' |\Psi_0(\mathbf{r},t)|^2 ]\Psi_0(\mathbf{r},t) \end{bmatrix}$$
(17)

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# The Gross-Pitaevskii-Equation

#### Gross-Pitaevskii-Equation

$$i\hbar\partial_t\Psi_0(\mathbf{r},t) = \left[-\frac{\hbar^2\nabla^2}{2m} + V_{ext}(\mathbf{r},t) + V_0|\Psi_0(\mathbf{r},t)|^2 d\mathbf{r}\right]\Psi_0(\mathbf{r},t)$$
(18)

- $n(\mathbf{r}) = |\Psi_0(\mathbf{r})|^2$
- $\Psi_0$  is classical limit of the de-Broglie wave
- GPE: Main tool for describing trapped gases theoretically
- Only valid for problems with many particles and length scales bigger than the scattering length *a*

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## Taking in higher orders: Bogoliubov approximation

- Lowest-order approximation: Only terms with  $\mathbf{p} = 0$ , Bogoliubov approximation also takes terms with  $\mathbf{p} \neq 0$
- Terms violating conservation of momentum can be disregarded right away (neglected quadratic p ≠ 0 terms):

$$\begin{split} \hat{\mathcal{H}} &= \sum \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \frac{V_0}{2V} \sum \hat{a}_{\mathbf{p}_1 + \mathbf{q}}^{\dagger} \hat{a}_{\mathbf{p}_2 - \mathbf{q}}^{\dagger} \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2} \\ &= \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \frac{V_0}{2V} \hat{a}_0^{\dagger} \hat{a}_0^{\dagger} \hat{a}_0 \hat{a}_0 \\ &+ \frac{V_0}{2V} \sum_{\mathbf{p} \neq \mathbf{0}} (4 \hat{a}_0^{\dagger} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_0 \hat{a}_{\mathbf{p}} + \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{-\mathbf{p}}^{\dagger} \hat{a}_0 \hat{a}_0 + \hat{a}_0^{\dagger} \hat{a}_0^{\dagger} \hat{a}_{\mathbf{p}} \hat{a}_{-\mathbf{p}}) \end{split}$$

Interaction term consists of:

• "exchange" term 
$$\hat{a}_0^{\dagger} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_0 \hat{a}_{\mathbf{p}} = N \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}}$$

**2** "excitation" terms  
$$\hat{a}^{\dagger}_{\mathbf{p}}\hat{a}^{\dagger}_{-\mathbf{p}}\hat{a}_{0}\hat{a}_{0} + \hat{a}^{\dagger}_{0}\hat{a}^{\dagger}_{0}\hat{a}_{\mathbf{p}}\hat{a}_{-\mathbf{p}} = N(\hat{a}^{\dagger}_{\mathbf{p}}\hat{a}^{\dagger}_{-\mathbf{p}} + \hat{a}_{\mathbf{p}}\hat{a}_{-\mathbf{p}})$$

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# Quadratic term: higher accuracy

$$\hat{H} = \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \frac{V_0}{2V} \hat{a}_0^{\dagger} \hat{a}_0^{\dagger} \hat{a}_0 \hat{a}_0 + \frac{V_0 N}{2V} \sum_{\mathbf{p} \neq \mathbf{0}} (4 \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{-\mathbf{p}}^{\dagger} + \hat{a}_{\mathbf{p}} \hat{a}_{-\mathbf{p}})$$

Second term requires higher accuracy:

$$\hat{a}_0^{\dagger} \hat{a}_0^{\dagger} \hat{a}_0 \hat{a}_0 \approx N_0^2$$

$$= (N - N_T)^2$$

$$= (N - \sum_{\mathbf{p} \neq 0} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}})^2$$

$$\approx N^2 - 2N \sum_{\mathbf{p} \neq 0} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}}$$

New Hamiltonian:

$$\hat{H} = \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \frac{V_0 nN}{2} + \frac{V_0 n}{2} \sum_{\mathbf{p} \neq \mathbf{0}} (2\hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{-\mathbf{p}}^{\dagger} + \hat{a}_{\mathbf{p}} \hat{a}_{-\mathbf{p}}) \quad (19)$$

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# The Bogoliubov-Transformation

$$\hat{H} = \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \frac{V_0 nN}{2} + \frac{V_0 n}{2} \sum_{\mathbf{p} \neq \mathbf{0}} (2\hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{\mathbf{p}} + \hat{a}_{\mathbf{p}}^{\dagger} \hat{a}_{-\mathbf{p}}^{\dagger} + \hat{a}_{\mathbf{p}} \hat{a}_{-\mathbf{p}})$$

The new Hamiltonian can be diagonalized via the Bogoliubov-transformation:

$$\hat{a}_{\mathbf{p}} = u_{\mathbf{p}}\hat{b}_{\mathbf{p}} + v_{-\mathbf{p}}\hat{b}_{-\mathbf{p}}^{\dagger}$$
  
 $\hat{a}_{\mathbf{p}}^{\dagger} = u_{\mathbf{p}}\hat{b}_{\mathbf{p}}^{\dagger} + v_{-\mathbf{p}}\hat{b}_{-\mathbf{p}}$ 

with  $[\hat{a}_p, \hat{a}_p^\dagger] = [\hat{b}_p, \hat{b}_p^\dagger] = \delta_{pp'}$ 

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# Blackboard calculation: Bogoliubov-Transformation

# Outline

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# Critical Temperature



Two-body interactions only contribute weakly to the condensation behaviour

Figure: Condensate fraction vs Temperature (harmonic potential); Graph from *L.Pitaevskii*, *S.Stringari: Bose-Einstein Condensation*; Data from *Ensher et al.*, *Phys.Rev.Let.77,25* (1996)

# Particle distribution



Figure: Dashed line: ideal gas; solid line: GP-equation - Graph from *L.Pitaevskii*, *S.Stringari: Bose-Einstein Condensation;* Data from *Hau et al., Phys.Rev. A 58,1* (1998)

- Ideal Bose Gas' size depends only on the external potential
- real gases must expand with greater particle numbers

# Summary: Mathematical results

Ideal Bose-Gas in the box:

- $T_c = \frac{\hbar^2 n^{(2/3)}}{2m} \cdot \frac{6.63}{k_B}$ •  $N_0/N = 1 - \left(\frac{T}{T_c}\right)^{(3/2)}$
- Infinite compressibility



Weakly interacting Bose-Gas:

- Introduction of cold, dilute gases  $(|a| \ll d)$
- Derived Gross-Pitaevskii-equation as main tool for investigating BEC
- Extended applicability with Bogoliubov-approximation

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